

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status. See 37 CFR 1.27.
3.  Specification [Total Pages 33 (plus cover)]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 6]
5. Oath or Declaration [Total Pages 4 (unsigned)]
  - a.  Newly executed (original or copy)
  - b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i.  DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Attorney Docket No.	HSJ9-2003-137-US1
First Inventor	Philip Joe BROCK
Title	A STABLE ENCAPSULANT FLUID CAPABLE OF UNDERGOING REVERSIBLE DIELS-ALDER POLYMERIZATION
Express Mail Label No.	EV 327 533 284 US

ADDRESS TO:  
Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i.  CD-ROM or CD-R (2 copies); or
    - ii.  paper
  - c.  Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(when there is an assignee)
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Two Return Receipt Postcards (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: \_\_\_\_\_

18. a. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_  
Prior application information: Examiner: \_\_\_\_\_ Group / Art Unit: \_\_\_\_\_  
 This application claims priority to Provisional Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

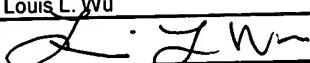
## 19. CORRESPONDENCE ADDRESS

 Customer Number of Bar Code Label

23980

or  Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name	Louis L. Wu				
Address	REED & EBERLE LLP 800 Menlo Avenue, Suite 210				
City	Menlo Park	State	CA	Zip Code	94025
Country	USA	Telephone	(650) 330-0900	Fax	(650) 330-0980
Name (Print/Type)	Louis L. Wu			Registration No. (Attorney/Agent)	44,413
Signature				Date	September 26, 2003

31.109 U.S.P.T.O.  
10/673042  
09/26/03

0041  
0042/60

# FEE TRANSMITTAL for FY 2003

Effective 01/01/03. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$1,020.00

Complete if Known

Application Number	Unassigned
Filing Date	Filed herewith
First Named Inventor	Phillip Jo BROCK
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	HSJ9-2003-137-US1

## METHOD OF PAYMENT (check all that apply)

 Check  Credit card  Money Order  Other  None

 Deposit Account:

Deposit Account No.	
Deposit Account Name	

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Charge any underpayment or credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	Code	Code	
1001	2001	375	Utility filing fee
1002	2002	165	Design filing fee
1003	2003	260	Plant filing fee
1004	2004	375	Reissue filing fee
1005	2005	80	Provisional filing fee
SUBTOTAL (1)			\$750.00

## 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	35	- 20** =	15	x	18	=	\$270.00
Independent Claims	2	- 3** =	0	x	0	=	\$0
Multiple Dependent					0	=	\$0

Large Entity	Small Entity	Fee Description
Fee	Fee	Fee
Code	Code	Code
1202	2202	9
1201	2201	42
1203	2203	140
1204	2204	42
1205	2205	9
SUBTOTAL (2)		\$270.00

\*\*or number previously paid, if greater; For Reissues, see above

3. ADDITIONAL FEES	FEE CALCULATION (continued)			
	Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	Fee Description	
Code	Code	Code	Fee	
1051	130	2051	Surcharge - late filing fee or oath	
1052	50	2052	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	Non-English specification	
1812	2,520	1812	For filing a request for ex parte reexamination	
1804	920*	1804	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	Requesting publication of SIR after Examiner action	
1251	110	2251	Extension for reply within first month	
1252	410	2252	Extension for reply within second month	
1253	930	2253	Extension for reply within third month	
1254	1,450	2254	Extension for reply within fourth month	
1255	1,970	2255	Extension for reply within fifth month	
1401	320	2401	Notice of Appeal	
1402	320	2402	Filing a brief in support of an appeal	
1403	280	2403	Request for oral hearing	
1451	1,510	1451	Petition to institute a public use proceeding	
1452	110	2452	Petition to revive - unavoidable	
1453	1,300	2453	Petition to revive - unintentional	
1501	1,300	2501	Utility issue fee (or reissue)	
1502	470	2502	Design issue fee	
1503	630	2503	Plant issue fee	
1460	130	1460	Petitions to the Commissioner	
1807	50	1807	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	Submission of Information Disclosure Stmt	
8021	40	8021	Recording each patent assignment per property (times number of properties)	
1809	750	2809	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	Request for Continued Examination (RCE)	
1802	900	1802	Request for expedited examination of a design application	
Other fee (specify)				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)
				\$0

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Signature	Registration No. (Attorney/Agent)	Telephone	
Louis L. Wu		44,413	(650) 330-0900	Date
				September 26, 2003